



ADJUVANT STEROIDS IN ADULTS WITH PANDEMIC INFLUENZA (ASAP)

CASE RECORD FORM

Participant Initials:

Participant Trial ID:

Sponsor: Nottingham University Hospitals NHS Trust

(For worksheet only)

Date of Randomisation: ___/___/___

Week 5 date: ___/___/___

Day 90 date: ___/___/___

Participant initials:

Screening/Enrolment to Day 5
(Visit 1)

Participant Trial ID:

REGISTRATION	
Participant Trial ID (Derived from Registration system)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Participant Initials: (Derived from Registration system)	<input type="text"/> <input type="text"/> <input type="text"/>
Participant Date of birth: (Derived from Registration system)	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD/MMM/YYYY
Participant Gender: (Derived from Registration system)	Male <input type="checkbox"/> Female <input type="checkbox"/>
Type of consent at enrolment (Derived from Registration system)	Written consent from participant <input type="checkbox"/> Clinician decision <input type="checkbox"/>
Date study medication dispensed to participant: (Derived from Registration system)	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD/MMM/YYYY
Time study medication dispensed to participant: (Derived from Registration system)	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Unknown <input type="checkbox"/> 24 Hr Clock - HH:MM

Participant initials:

Screening/Enrolment to Day 5
(Visit 1)

Participant Trial ID:

HOSPITAL ADMISSION

Date admitted to hospital:	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD/MMM/YYYY		
Time admitted to hospital:	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> 24 Hr clock - HH:MM		Unknown <input type="checkbox"/>
Date of onset of flu symptoms:	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD/MMM/YYYY		
Participant unconscious at admission?	No <input type="checkbox"/>	Yes <input type="checkbox"/>	Not documented <input type="checkbox"/>
Participant confused/mentally disoriented at admission?	No <input type="checkbox"/>	Yes <input type="checkbox"/>	Not documented <input type="checkbox"/>
Participant received antiviral flu medication pre-admission (previous 7 days)? (tick one only)	No <input type="checkbox"/>	Yes <input type="checkbox"/>	Not documented <input type="checkbox"/>
Participant received antibiotics pre-admission (previous 7 days)? (tick one only)	No <input type="checkbox"/>	Yes <input type="checkbox"/>	Not documented <input type="checkbox"/>
If female, was participant pregnant?	No <input type="checkbox"/>	Yes <input type="checkbox"/>	Not documented <input type="checkbox"/>

Participant initials:

Screening/Enrolment to Day 5
(Visit 1)

Participant Trial ID:

MEDICAL HISTORY

Did the patient have any documented medical conditions at the time of hospital admission?

No

Yes

If yes, was the participant documented as having any of the following conditions at the time of hospital admission?

Medical History Term	No	Yes	Unsure
Obesity	<input type="checkbox"/>	<input type="checkbox"/>	
Asthma	<input type="checkbox"/>	<input type="checkbox"/>	
Chronic Obstructive Pulmonary Disease (COPD)	<input type="checkbox"/>	<input type="checkbox"/>	
Heart Disease	<input type="checkbox"/>	<input type="checkbox"/>	
HIV/AIDS	<input type="checkbox"/>	<input type="checkbox"/>	
Cancer (excluding melanoma and basal cell carcinoma)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If Unsure if condition is cancer please provide details:	<hr/> <hr/>		
Confirmed cancer diagnosis? (NCTU use only)	<input type="checkbox"/>	<input type="checkbox"/>	
Any other ongoing medical condition?	<input type="checkbox"/>	<input type="checkbox"/>	

Participant initials: Screening/Enrolment to Day 5
(Visit 1)Participant Trial ID:

ADMISSION TESTS

MEASUREMENT	RESULT
Body Temperature (° C)	<input type="text"/> <input type="text"/> . <input type="text"/>
Pulse/Heart rate (beats/min)	<input type="text"/> <input type="text"/> <input type="text"/>
Blood Pressure (Systolic/Diastolic) (mm/Hg)	<input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/>
Respiratory rate (Breaths/min)	<input type="text"/> <input type="text"/>
Oxygen Saturation (Pulse Oximetry) (%)	<input type="text"/> <input type="text"/> <input type="text"/>
Receiving supplementary oxygen at time of test? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/>
If yes, how much? (tick one only)	<input type="text"/> <input type="text"/> <input type="text"/> L/min <input type="checkbox"/> or <input type="text"/> <input type="text"/> <input type="text"/> FiO ₂ <input type="checkbox"/>

BLOOD TESTS

Was a blood test taken on admission? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/>
If yes, date and time of blood sample received at lab (enter first sample taken during hospital admission)	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD/MMM/YYYY <input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> 24 Hr clock - HH:MM
White cell count (WCC, WBC, leucocytes) (X 10 ⁹ /L)	<input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> Not available <input type="checkbox"/>
Urea (mmol/L)	<input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> Not available <input type="checkbox"/>
C-reactive protein (CRP) (mg/L)	<input type="text"/> <input type="text"/> <input type="text"/> Not available <input type="checkbox"/>

Participant initials: <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	Screening/Enrolment to Day 5 (Visit 1)
Participant Trial ID: <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	

CHEST X RAY	
Was a chest X-ray performed during the initial hospitalisation? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/>
If yes, date of first X-ray performed	<div style="display: flex; align-items: center; justify-content: space-around;"> <div style="text-align: center;"> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> DD/MMM/YYYY </div> <div style="text-align: center;"> <input style="width: 20px; height: 20px;" type="text"/> : <input style="width: 20px; height: 20px;" type="text"/> 24 Hr clock - HH:MM </div> </div>
Is result of X-ray available?	Result awaited <input type="checkbox"/> Result available <input type="checkbox"/>
If available, findings of chest X-ray report (tick one only)	Normal <input type="checkbox"/> Abnormal, pneumonia <input type="checkbox"/> Abnormal, not pneumonia <input type="checkbox"/>

FLU CONFIRMATION	
Result of microbiological test for flu (tick one only)	Negative <input type="checkbox"/> Positive <input type="checkbox"/> Not Tested <input type="checkbox"/>

Participant initials:

Screening/Enrolment to Day 5
(Visit 1)

Participant Trial ID:

STUDY MEDICATION DOSE ADMINISTRATION

How many doses of study medication did the participant receive whilst in hospital?	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> Unknown <input type="checkbox"/>
If < 5, reason: (tick one only)	Participant discharged home to complete study medication <input type="checkbox"/> Clinical decision to discontinue study medication <input type="checkbox"/> Participant refused study medication <input type="checkbox"/> Study medication lost/misplaced <input type="checkbox"/> Other <input type="checkbox"/> If Other, specify _____

Participant initials: <input type="text"/> <input type="text"/> <input type="text"/>	Screening/Enrolment to Day 5 (Visit 1)
Participant Trial ID: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

CONCOMITANT MEDICATION	
Were antibiotics given to participant within the first 5 days of initial admission? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/> Unknown <input type="checkbox"/>
Was antiviral medication for flu given to participant within first 5 days of initial admission? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/> Unknown <input type="checkbox"/>
Were steroids given to the participant within the first 5 days of initial admission? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/> Unknown <input type="checkbox"/>
Were steroids prescribed for the participant between days 6-10 after initial admission?	No <input type="checkbox"/> Yes <input type="checkbox"/> Unknown <input type="checkbox"/>

TREATMENT FOR PNEUMONIA	
Was the participant treated clinically for pneumonia? (tick one only)	No <input type="checkbox"/> Yes <input type="checkbox"/> Unknown <input type="checkbox"/>

Participant initials: <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	Week 5 Status (Visit 2)
Participant Trial ID: <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	

Tick if checks not performed (participant withdrawn consent prior to day 30)
Please complete withdrawal form
[Hyperlink to Withdrawal form](#)

Derived Week 5 date:	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> DD/MMM/YYYY
Date week 5 check performed:	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> DD/MMM/YYYY

WEEK 5 CHECKS

A search of your hospital admission systems must be performed at the end of week 5 to check whether the participant has had any subsequent hospitalisations during this period.

Do the hospital records indicate the participant has died? (if yes please complete the death form)	No <input type="checkbox"/>	Yes <input type="checkbox"/>
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HOSPITAL ADMISSIONS

Was the participant transferred from this hospital to another hospital during first 5 weeks after admission? <i>Hyperlink to Admissions form</i>	No <input type="checkbox"/>	Yes <input type="checkbox"/>
Please confirm all known hospitalisations within 5 weeks of enrolment have been recorded on the Hospital Admission CRF?	No <input type="checkbox"/>	Yes <input type="checkbox"/>
At this date (week 5) is this patient in hospital? (this includes if the participant was in hospital following transfer to another site following the initial admission, or any subsequent hospital readmissions)	No <input type="checkbox"/>	Yes <input type="checkbox"/>
If yes, was this the initial hospital admission or readmission?	Initial Admission <input type="checkbox"/>	Readmission <input type="checkbox"/>

ICU ADMISSIONS

Please confirm all known ICU admissions within 5 weeks of admission have been recorded on the ICU Admission CRF? <i>Hyperlink to ICU Admissions form</i>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	
If the participant had an ICU admission, was participant in ICU at end of week 5? (this includes if the participant was in ICU following transfer to another site)	No <input type="checkbox"/>	Yes <input type="checkbox"/>	NA <input type="checkbox"/>

Participant initials:

Participant Trial ID:

Hospital Admissions
(Visit 888)

ALL HOSPITAL ADMISSIONS WITHIN 5 WEEKS OF ADMISSION INTO TRIAL

All hospitalisations that occur within 5 weeks of the first admission date must be recorded in the table.

If the participant is transferred to another hospital for continued care this should be treated as a single hospital admission.

Date first admitted to hospital: derived field

5 week date: derived field

No.	Date admitted to hospital (DD/MMM/YYYY)									Was participant transferred to another hospital following this admission?	If yes, please provide name of hospital where participant was transferred to:	If yes, were they transferred into ICU within 5 weeks of admission?	If participant transferred, date of hospital transfer* (DD/MMM/YYYY)									Date discharged from hospital* (DD/MMM/YYYY)	If not discharged, status: 1 = Died in hospital 2 = Ongoing hospital stay at day 90 3 = Withdrawn consent to follow-up								
1	D	D	M	M	M	2	0	Y	Y	No <input type="checkbox"/> Yes <input type="checkbox"/>		No <input type="checkbox"/> Yes <input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>
2	D	D	M	M	M	2	0	Y	Y	No <input type="checkbox"/> Yes <input type="checkbox"/>		No <input type="checkbox"/> Yes <input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>
3	D	D	M	M	M	2	0	Y	Y	No <input type="checkbox"/> Yes <input type="checkbox"/>		No <input type="checkbox"/> Yes <input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>
4	D	D	M	M	M	2	0	Y	Y	No <input type="checkbox"/> Yes <input type="checkbox"/>		No <input type="checkbox"/> Yes <input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>
5	D	D	M	M	M	2	0	Y	Y	No <input type="checkbox"/> Yes <input type="checkbox"/>		No <input type="checkbox"/> Yes <input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>

*if participant was transferred to another hospital after admission the participant should be followed-up with that hospital until they are discharged completely from hospital. The date of discharge on the CRF must be the date the participant was discharged completely from hospital.

Participant initials:

Hospital Admissions
(Visit 888)

Participant Trial ID:

ALL ADMISSIONS TO INTENSIVE CARE UNIT (ICU) WITHIN 5 WEEKS OF ADMISSION INTO TRIAL

Date first admitted to hospital: derived field

5 week date: derived field

Has participant had an admission to ICU within 5 weeks of the date of hospital admission? No Yes Unknown

No	Admission Date to ICU (DD/MMM/YYYY)										Tick if estimated	Time of Admission (HH:MM) 24HR clock		Date of discharge from ICU (from this hospital or transferred hospital) (DD/MMM/YYYY)								Tick if estimated	If not discharged, status: 1 = Died in ICU# 2 = Still in ICU at day 90 3 = Withdrawn consent to follow-up	
1	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="text"/>	:	<input type="text"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="checkbox"/>
2	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="text"/>	:	<input type="text"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="checkbox"/>
3	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="text"/>	:	<input type="text"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="checkbox"/>
4	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="text"/>	:	<input type="text"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="checkbox"/>
5	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="text"/>	:	<input type="text"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>	<input type="checkbox"/>

*if participant was transferred to another hospital after admission to ICU the participant should be followed-up with that hospital until they are discharged completely from ICU. The date of discharge on the CRF must be the date the participant was discharged completely from ICU.

#Complete death form

Participant initials:

Participant Trial ID:

Death
(Visit 888)

DEATH (NCTU or SITE USE)

Has notification been received
the participant has died?

Yes

Date of death

/ /

DD/MMM/YYYY

Unknown

Time of Death

(Only required if within 48 hours of admission)

:

HH:MM (24 hour clock)

Unknown

Source of Death Information

Hospital records

Questionnaire contact (NCTU only)

Other

If Other, specify _____

Participant initials:

Protocol Deviations – Visit 888

Participant Trial ID:

PROTOCOL DEVIATIONS

Have any protocol deviations taken place? No Yes Unknown

No.	Deviation Category (enter code as below)	Date of deviation										Tick if estimated	Comments to describe the deviation
1	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
2	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
3	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
4	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
5	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
6	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
7	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
8	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
9	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		
10	<input type="checkbox"/>	D	D	M	M	M	2	0	Y	Y	<input type="checkbox"/>		

(Record multiple reasons on separate lines)

CODES

1= Inclusion / Exclusion Criteria Deviation, 2= Study Medication not given as per protocol 3= Other

Participant initials:

Trial Withdrawal
(Visit 777)

Participant Trial ID:

TRIAL WITHDRAWAL

Has the participant
been withdrawn from the trial?

Yes

If yes, date of withdrawal:

/ /

DD/MMM/YYYY

Participant Status:

If yes, check the
primary reason for Discontinuation
(tick one box):

Withdrawal of Consent due to Adverse Event

Withdrawal of Consent

Lost to Follow Up

Trial terminated by sponsor

Other

If Other or Withdrawn Consent please specify _____

Participant initials:

Sign Off Statement

Participant Trial ID:

SIGN OFF STATEMENT

Investigator's Question

To the best of my knowledge, I confirm that I have made every reasonable effort to ensure that ALL of the data in this Case Record Form is a true, accurate and complete report.

Please tick boxes to confirm that the following pages have been completed and their data has been reviewed:

- Hospital Admissions
- ICU Admissions
- Protocol Deviations

Investigator/designee's Signature: _____

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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DD/MMM/YYYY

Participant initials:

Postal Questionnaire – NCTU use only

Participant Trial ID:

**POSTAL QUESTIONNAIRE- 30 DAYS POST HOSPITAL DISCHARGE
(NCTU USE ONLY)**

Questionnaire status:	Not required (e.g. participant hospitalised at day 60/died prior to day 60/not sent at participant's request) <input type="checkbox"/> Sent <input type="checkbox"/>
If sent, date sent:	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD/MMM/YYYY

Questionnaire returned?	No <input type="checkbox"/> Yes <input type="checkbox"/>
How many times did the participant consult the GP in the 30 days following discharge from hospital?	<input type="text"/> <input type="text"/> Unknown <input type="checkbox"/>
How many times did the participant go back to hospital to seek medical care in the 30 days following discharge from hospital?	<input type="text"/> <input type="text"/> Unknown <input type="checkbox"/>
If participant discharged prior to day 5, did the participant complete the treatment course?	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <input type="checkbox"/>

Participant initials:

Participant Completion Status – NCTU use only

Participant Trial ID:

**PARTICIPANT COMPLETION STATUS
(NCTU USE ONLY)**

**Please indicate when the participant's data is
considered complete**

Yes